

Document XIX

PROCEDURES FOR SELECTING DEPARTMENT HEADS

I. PREAMBLE: ~~THE~~ OBJECTIVES OF THE DEPARTMENT HEAD

The selection of a department head is an important event in the life of a university. ~~Effective heads need the confidence and support of both the departmental faculty and the dean. As interpreters and communicators, as champions of faculty and student needs and rights, and as exemplars for the ethics and professionalism of the discipline, department heads assume considerable responsibility, placing the department as their highest priority.~~

In as much as the department head is expected to take the lead in building a consensus within the department and between the department and the dean on such matters as curriculum, standards, directions for the development of the academic program, and substantive research, ~~and~~ the allocation of resources, ~~and~~, in addition, to organize and consult with committees in the department, particularly those dealing with hiring, ~~promotion~~promotion, and retention, and with courses and curriculum, ~~the~~ The selection process of a department head/director should include a broad representation of interests within the department, and the committee should bear these functions of the department head in mind during its search.

II. PRELIMINARY MEETING OF THE DEPARTMENT AND DEAN

When a vacancy occurs in the headship of a department or similar faculty unit, the dean of the appropriate college calls a meeting of the department (along with, perhaps, other administrative officers) to define the context in which the selection will take place. ~~About a week before the meeting,~~ The dean circulates an agenda and a list of administrative participants to the faculty of the department in advance of the meeting; the business of this meeting includes a discussion of the state of the department, its goals and plans, the role of the department head, the qualifications for the position, the financial resources available for the search, the scope of the search (internal only or internal/external), the size of the search committee, which non-faculty constituencies (other departments, students, alumni, or citizens) may be represented on the committee, and any other factors relevant to the selection of the new department head.

III. COMPOSITION OF SEARCH COMMITTEE

In a small department, all members of the department may serve on the committee, as well faculty of related areas and external consultants with the approval of the dean. ~~In the larger departments, at least~~ generally two-thirds of the committee members are full-time faculty members elected by the full-time members of the department, and generally one-third of the full-time faculty members are appointed by the dean. ~~The dean is invited to serve on the committee.~~ ~~Other members of the committee, including Associate/Assistant Deans, faculty from other departments/schools, part-time faculty, representatives of non-faculty constituencies when appropriate~~ may include students, alumni, industry, and community leaders, may be appointed by the dean. ~~In all cases, the total committee composition generally shall be~~ at least two-thirds of the committee members shall be full-time faculty members of the department.

IV. DESCRIPTION OF THE SEARCH

The search committee is responsible for advertising the position, receiving applications and nominations, reviewing credentials, and recommending a ~~slate~~ short list of at least two "finalists" applicants to be invited for on-campus interviews. ~~All materials prepared by or received by the committee are available for inspection by the faculty of the department, and at each stage of its activities the committee formally solicits information and opinions from them. The following outline of search committee activities is appropriate for an internal/external search; in a strictly internal search, minor changes may be made.~~

- 1) The search committee and the dean review or prepare a job ~~description~~description for approval by Academic Affairs and Human Resources.
- 2) The committee, with the approval of the dean, the EEO Officer and the Provost/Vice President for Academic Affairs, prepares and distributes official notices of the vacancy and places advertisements in appropriate periodicals.—The vacancy announcement includes a job description and minimum qualifications for the job keeping in mind that all department chairs, school directors, and program coordinators have faculty standing in the departments they head, hold the rank of Associate Professor or higher and therefore each goes through the same review for academic qualifications to which all faculty members are subject as described ~~in the Staff Handbook under "Academic Affairs Hiring Full-time Unclassified Personnel by Human Resources."~~
- 3) The committee, consulting with the dean, decides on its procedures and schedule.—All policies concerning evaluation scales, voting processes and the like are to-defined in advance.—Before examining applications, the committee defines and weights the criteria it will use in evaluating candidates.—In the process it prepares an evaluation questionnaire for later use by the committee in determining the opinion of the other members of the department. (viz. step 6)
- 4) After the EEO Officer certifies the applicant pool, ~~has been certified by the EEO Officer and reviewed by~~ the dean reviews the pool, and then the committee evaluates the applicants and selects a short list of finalists.—Telephone or video interviews with applicants may prove useful at this stage.—The faculty and other appropriate constituencies ~~are provided~~will have opportunities ~~for to provide~~ input to the committee before this selection.
- 5) The committee and the dean schedule the visits of the finalists to the campus.—These applicants have separate interviews with the search committee, the dean, and other administrative officials. The interview process also includes a meeting of each candidate with the entire faculty department at which time the candidate responds to questions from the faculty.—The department may choose this to be an informal session, or it may invite the candidate to make a formal presentation to faculty and students.—Department faculty who wish to may meet individually with a candidate, but if time is sufficient to accommodate this privilege for all desiring it, none shall enjoy it.
- 6) After the interviews and consideration of any additional information obtained by the committee, the committee meets again with the department ~~for the purpose of~~ informal discussion of the candidates in relation to the aims and goals previously set.—The committee then distributes its questionnaire to the faculty ~~in order to~~ determine their colleagues' anonymous opinions about the candidates.
- 7) The committee, after consideration of the results of the interviews and the faculty questionnaire, prepares its report to the faculty and the dean; this report includes the committee's alphabetically unraked ranking of list of those finalists whom it recommends for the position.—The committee forwards copies of the faculty questionnaires and other documents related to the committee's deliberations to the dean with this report.
- 8) After consultation with the Provost/Vice President for Academic Affairs, the dean meets with the committee to present his or her response to the report.—~~If the dean's ranking of the candidates differs from that of the committee, the reasons for the differences are given in writing and discussed.~~—In the unusual event that none of the candidates recommended by the committee is suitable to the dean, the selection process resumes at the appropriate stage.—The dean, ~~in consultation with the committee,~~ may wish to appoint an interim department head in this case (holding the rank of Associate Professor or higher) or an interim governing body such as an Executive Committee to handle the department daily operation.
- 9) The dean then prepares his or her formal recommendation concerning the appointment and transmits it, along with copies of the committee's report and documents, to the Provost/Vice

President for Academic Affairs, who discusses the recommendation with the President.—If the Vice President or President rejects the recommendation or the recommended candidate does not accept the position, the Vice President, the dean, and the committee meet to decide on a course of action.—If the selection is approved, the hiring process as described [in the *Staff Handbook* under “Academic Affairs—Hiring Full-time Unclassified Personnel”](#) is followed by [Human Resources](#) is followed.

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